## **RACING AND GAMING COMMISSION[491]**

[Prior to 11/19/86, Chs 1 to 10, see Racing Commission[693]; Renamed Racing and Gaming Division [195] under the "umbrella" of Commerce, Department of [181], 11/19/86] [Prior to 12/17/86, Chs 20 to 25, see Revenue Department[730] Chs 91 to 96]

[Transferred from Commerce Department[181] to the Department of Inspections and Appeals "umbrella" [481] pursuant to 1987 Iowa Acts, chapter 234, section 421]

[Renamed Racing and Gaming Commission[491], 8/23/89; See 1989 Iowa Acts, ch 67 §1(2), and ch 231 §30(1), 31]

	CHAPTER 1		CHAPTER 4
	ATION AND OPERATION		AND PROCEDURE BEFORE
1.1(99D,99F)	Function	THE R	ACING AND GAMING
1.2(99D,99F)	Organization and operations		COMMISSION
1.3(99D,99F)	Administration of the	(	GENERAL PROVISIONS
	commission	4.1(99D,99F)	Definitions
1.4(22,99F)	Open records	4.2(99D,99F)	Computation of time, filing of
1.5(17A,99D,9	9F) Forms	, , , ,	documents
	CHAPTER 2	4.3(99D,99F)	Gaming officials—duties
DI.	ILE MAKING AND	4.4(99D,99F)	Duties of the board
	ARATORY RULINGS	4.5(99D,99F)	Disciplinary measures by
2.1(99D,99F)			commission
2.1(99D,99F) 2.2(99D,99F)	Commission rule making	4.6(99D,99F)	Board meetings
	Oral presentations Conferences or consultations	4.7(99D,99F)	Penalties
2.3(99D,99F)		4.8(99D,99F)	Stays of board decisions
2.4(99D,99F)	Adoption	4.9(99D,99F)	Effect of another jurisdiction's
2.5(99D,99F)	Petition for rule making	, , , ,	orders
2.6(17A,99D,9		4.10(99D,99F)	Hearings before the
2.7(17A,99D,9	9F) Petition for declaratory		commission
2.9/17 4.00 D.0	rulings 9F) Procedure after petition	4.11(99D,99F)	Contested case proceedings
2.8(1/A,99D,9	is filed		before the commission—
	is med		general
	CHAPTER 3	4.12(99D,99F)	Appeal from board's decisions
PUB	LIC RECORDS AND	4.13(99D,99F)	Refusal by a board to approve
FAIR INF	ORMATION PRACTICES		license
	(Uniform Rules)	4.14(99D,99F)	Subpoenas
3.1(17A,22)	Definitions	4.15(99D,99F)	Notice of hearing
3.3(17A,22)	Requests for access to records	4.16(99D,99F)	Discovery
3.6(17A,22)	Procedure by which additions,	4.17(99D,99F)	Time of hearing
, , ,	dissents, or objections	4.18(99D,99F)	Conduct of proceedings
	may be entered into	4.19(99D,99F)	Rules of evidence
	certain records	4.20(99D,99F)	Oath
3.9(17A,22)	Disclosures without the	4.21(99D,99F)	Evidence having probative
, , ,	consent of the subject		value
3.10(17A,22)	Routine use	4.22(99D,99F)	Copies of evidence
3.11(17A,22)	Consensual disclosure of	4.23(99D,99F)	Official notice
( - , , ,	confidential records	4.24(99D,99F)	Presentation of evidence and
3.12(17A,22)	Release to subject		testimony
3.13(17A,22)	Availability of records	4.25(99D,99F)	Offer of proof
3.14(17A,22)	Personally identifiable	4.26(99D,99F)	Motions
- · · · ( - · · - · - · - · - · )	information	4.27(99D,99F)	Briefs

CHAPTER 5  APPLICATIONS FOR TRACK LICENSES  AND RACING DATES  5.1(99D) In general 5.2(99D) Ownership and management 5.3(99D) Location and physical plant 5.4(99D) Racing operation 5.5(99D) Economic, demographic, and other  CHAPTER 8  sample collection, che ists, and practicing ve inarians  7.11(99D) Schooling 7.12(99D) Qualifying time 7.13(99D) Weights and weighing 7.14(99D) Rules of the race 7.15(99D) Objections	4.28(99D,99) 4.29(99D,99) 4.30(99D,99) 4.31(99D,99) 4.32(99D,99) 4.33(99D,99) 4.34(99D,99)	<ul> <li>F) Standards of conduct</li> <li>F) Alcohol and drug testing rule</li> <li>F) Commission approval of         contracts and business         arrangements</li> <li>F) Labor organization registration required</li> <li>F) Failure to pay child support</li> </ul>	7.1(99D) 7.2 7.3(99D) 7.4 7.5(99D) 7.6(99D) 7.7(99D) 7.8(99D) 7.9(99D)	CHAPTER 7 GREYHOUND RACING Terms defined Reserved Trainer responsibility Reserved Registration Entries Race reckless/interfered/ rule off procedures Declarations and scratches Racing officials Medication and administration
5.7(99D) Duties and obligations 5.8 Reserved 5.9(99D) Availability of minutes 5.10(99D) Leased facilities 5.11(99D) Additional information 5.12(99D) Fair racing applications 5.13(99D) Distribution of applications 5.14(99D) Annual audit 5.15(99D) Season approvals 5.16(99D) Track licensee's and general manager's responsibilities  CHAPTER 6 CRITERIA FOR GRANTING LICENSES AND DETERMINING RACE DATES  MUTUEL DEPARTMENT 8.1(99D) Definitions 8.2(99D) General 8.3(99D) Calculation of payoffs and dis tribution of pools CHAPTER 9 HARNESS RACING 9.1(99D) Terms defined 9.2(99D) Racing officials 9.3(99D) Track licensee's and general manager's responsibilities CONDUCTOR OF TRACK PRIMENT  8.1(99D) Definitions 8.2(99D) Calculation of payoffs and dis tribution of pools Track licensee's remaining defined 9.1(99D) Terms defined 9.2(99D) Racing officials 9.3(99D) Track licensee's and general manager's responsibilities  CHAPTER 6 9.4(99D) Licensees Conduct of races 9.5(99D) Medication and administration	5.1(99D) 5.2(99D) 5.3(99D) 5.3(99D) 5.4(99D) 5.5(99D) 5.7(99D) 5.7(99D) 5.8 5.9(99D) 5.11(99D) 5.12(99D) 5.13(99D) 5.14(99D) 5.15(99D) 5.16(99D)	ITIONS FOR TRACK LICENSES AND RACING DATES In general Ownership and management Location and physical plant Racing operation Economic, demographic, and other Commission approval of sale Duties and obligations Reserved Availability of minutes Leased facilities Additional information Fair racing applications Distribution of applications Annual audit Season approvals Track licensee's and general manager's responsibilities  CHAPTER 6 A FOR GRANTING LICENSES ETERMINING RACE DATES	7.12(99D) 7.13(99D) 7.14(99D) 7.15(99D) 8.1(99D) 8.2(99D) 8.3(99D) 9.1(99D) 9.2(99D) 9.3(99D) 9.4(99D) 9.5(99D)	Schooling Qualifying time Weights and weighing Rules of the race Objections  CHAPTER 8 IUTUEL DEPARTMENT Definitions General Calculation of payoffs and distribution of pools  CHAPTER 9 HARNESS RACING Terms defined Racing officials Track licensee's and general manager's responsibilities Licensees Conduct of races Medication and administration, sample collection and

	CHAPTER 10	13.9(99D,99F	F) Unlicensed employees
TH	OROUGHBRED RACING	13.10(99D,99	F) Fraudulent and corrupt
10.1(99D)	Terms defined		practices—grounds for
10.2(99D)	Racing officials		denial, suspension, or
10.3	Reserved		revocation of a license
10.4(99D)	Trainer, jockey, and jockey agent	13.11(99D,99	F) Vendor's license
101.(>>2)	responsibilities	13.12(99D,99	F) Probationary license
10.5(99D)	Conduct of races	13.13(99D)	Owners and trainers of racing
10.6(99D)	Medication and administration,	,	animals
10.0())D)	sample collection, chem-	13.14(99D	Kennel name
	ists, and practicing veter-	13.15(99D)	Stable names
	inarian	13.16(99D)	Leases (horse racing only)
		13.17(99D)	Partnerships owning racing
	CHAPTER 11	,	animals
	Reserved	13.18(99D)	Corporations owning racing
	CHAPTER 12	12 10(00D)	animals
	SIMULCASTING	13.19(99D)	Authorized agents for owner
12.1(99D)	Definitions	12.20(00D)	entities of racing animals
12.2(99D)	Approval of transmission method	13.20(99D)	Practicing veterinarians
12.3(99D)	Communication between	13.21(99D)	Jockeys
	facilities	13.22(99D)	Jockey agent
12.4(99D)	Licensee responsible for		CHAPTERS 14 to 19
	reporting delay		Reserved
12.5(99D)	Preapproval		CHAPTED 20
12.6(99D)	Requests	A DDI	CHAPTER 20
12.7(99D)	Permission from other		ICATION PROCESS FOR
	jurisdictions		ON BOATS AND RACETRACK
12.8(99D)	Transmitted		SURE GAMING LICENSE
12.9(99D)	Live racing rules	20.1 to 20.9	
12.10(99D)			Application—general
12.11(99D)		20.11(99F)	Items included in application
	wagering	20.12(99F)	Proposed excursion route and
	CHAPTER 13	20 12 (00E)	docking facilities
OCCI	CHAPTER 13	20.13(99F)	Excursion gambling boat and
OCCU	JPATIONAL AND VENDOR		Excursion gambling boat and casino operation
	JPATIONAL AND VENDOR LICENSING		Excursion gambling boat and casino operation Economic, demographic and
13.1(99D,99	JPATIONAL AND VENDOR LICENSING DF) Definitions	20.14(99F)	Excursion gambling boat and casino operation Economic, demographic and other
13.1(99D,99 13.2(99D,99	JPATIONAL AND VENDOR LICENSING DF) Definitions DF) Occupational licensing	20.14(99F)	Excursion gambling boat and casino operation Economic, demographic and other Duties and obligations of
13.1(99D,99 13.2(99D,99 13.3(99D,99	JPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege	20.14(99F)	Excursion gambling boat and casino operation Economic, demographic and other Duties and obligations of nonprofit applicant and
13.1(99D,99 13.2(99D,99	UPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege Disclosure of ownership of	20.14(99F) 20.15(99F)	Excursion gambling boat and casino operation Economic, demographic and other Duties and obligations of nonprofit applicant and proposed operators
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D)	UPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals	20.14(99F) 20.15(99F) 20.16	Excursion gambling boat and casino operation Economic, demographic and other Duties and obligations of nonprofit applicant and proposed operators Reserved
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D)	DPATIONAL AND VENDOR LICENSING DF) Definitions Occupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals DF) License acceptance	20.14(99F) 20.15(99F) 20.16 20.17(99F)	Excursion gambling boat and casino operation Economic, demographic and other Duties and obligations of nonprofit applicant and proposed operators Reserved Availability of minutes
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D) 13.5(99D,99 13.6(99D,99	DPATIONAL AND VENDOR LICENSING DF) Definitions Occupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals DF) License acceptance Denials	20.14(99F) 20.15(99F) 20.16 20.17(99F) 20.18(99F)	Excursion gambling boat and casino operation Economic, demographic and other Duties and obligations of nonprofit applicant and proposed operators Reserved Availability of minutes Leased facilities
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D) 13.5(99D,99 13.6(99D,99 13.7(99D,99	DPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals DF) License acceptance Denials DF) Duration of license	20.14(99F) 20.15(99F) 20.16 20.17(99F) 20.18(99F)	Excursion gambling boat and casino operation  Economic, demographic and other  Duties and obligations of nonprofit applicant and proposed operators  Reserved  Availability of minutes  Leased facilities  Additional information as
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D) 13.5(99D,99 13.6(99D,99	DPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals DF) License acceptance Denials DF) Duration of license DF) Duration of license DF) Applicability of rules—	20.14(99F) 20.15(99F) 20.16 20.17(99F) 20.18(99F) 20.19(99F)	Excursion gambling boat and casino operation  Economic, demographic and other  Duties and obligations of nonprofit applicant and proposed operators  Reserved  Availability of minutes  Leased facilities  Additional information as required
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D) 13.5(99D,99 13.6(99D,99 13.7(99D,99	DPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals DF) License acceptance Denials DF) Duration of license	20.14(99F) 20.15(99F) 20.16 20.17(99F) 20.18(99F) 20.19(99F)	Excursion gambling boat and casino operation  Economic, demographic and other  Duties and obligations of nonprofit applicant and proposed operators  Reserved  Availability of minutes  Leased facilities  Additional information as required  Distribution of applications and
13.1(99D,99 13.2(99D,99 13.3(99D,99 13.4(99D) 13.5(99D,99 13.6(99D,99 13.7(99D,99	DPATIONAL AND VENDOR LICENSING DF) Definitions OCcupational licensing DF) Waiver of privilege Disclosure of ownership of racing animals DF) License acceptance Denials DF) Duration of license DF) Duration of license DF) Applicability of rules—	20.14(99F) 20.15(99F) 20.16 20.17(99F) 20.18(99F) 20.19(99F) 20.20(99F)	Excursion gambling boat and casino operation  Economic, demographic and other  Duties and obligations of nonprofit applicant and proposed operators  Reserved  Availability of minutes  Leased facilities  Additional information as required

	CHAPTER 21 ERIA FOR GRANTING AN ION BOAT AND RACETRACK	24.13(99F) 24.14(99F)	Forms, records and documents Standard financial and statistical reports
	OSURE GAMING LICENSE Reserved In general—excursion boat	24.15 24.16(99F) 24.17(99F)	Reserved Licensee's organization Firearms—possession within
21.11(99F) 21.12	gaming license Limited number of licenses Reserved	24.18(99F)	casino Accounting controls within the cashier's cage
21.13(99F)	In general—racetrack enclosure gaming license	24.19(99F) 24.20(99F)	Drop boxes and drop buckets Drop boxes, transportation to and from gaming tables—
MANUFAC 22.1 to 22.9	CHAPTER 22 TURERS AND DISTRIBUTORS Reserved	24.21(99F)	storage in count room Procedure for accepting cash at gaming tables
22.10(99F)	Licensing of manufacturers and distributors of imple- ments of gambling games	24.22(99F)	Procedure for distributing gaming chips to gaming tables
22.11(00F)	or implements of gambling	24.23(99F) 24.24(99F)	Procedure for removing gaming chips from gaming tables Procedure for closing gaming
22.11(99F) 22.12(99F) 22.13(99F)	Economic impact Licensing standards Application procedure	24.25(99F) 24.26(99F)	tables Count room—characteristics Procedure for opening, counting
22.14(99F) 22.15(99F)	Temporary emergency license certificates Inspections, investigation of	, ,	and recording contents of drop boxes
22.16(99F)	gambling devices Methods of operation	24.27(99F) 24.28(99F)	Slot machines—keys Slot machines—identification— signs—meters
22.17(99F) 22.18(99F) 22.19(99F)	Appearance of applicant Record keeping Disciplinary procedures	24.29(99F) 24.30(99F)	Jackpot payouts Procedure for filling payout
22.20(99F) 22.21(99F)	Violation of laws or regulations Competition	24.31(99F)	reserve containers of slot machines Slot count—procedure for
22.22(99F) 22.23(99F)	Consent to inspections, searches and seizures Withdrawal of application		counting and recording contents of drop buckets
22.20(331)	CHAPTER 23 Reserved	24.32(99F)	Computer recording requirements and monitoring of slot machines
ACCOUN 24.1 to 24.9 24.10(99F)	CHAPTER 24 VTING AND CASH CONTROL Reserved Accounting records	RI 25.1 to 25.9 25.10(99F)	CHAPTER 25 VERBOAT OPERATION Reserved Licensing
24.11(99F) 24.12(99F)	Licensee's system of internal control Records regarding ownership	25.11(99F) 25.12(99F) 25.13(99F) 25.14(99F)	Casino Riverboat uniform requirements Excursions Security force

25.15(99F)	Videotaping
25.16 to 25.1	18 Reserved
25.19(99F)	Boat operator's responsibilities
25.20(99F)	Taxes and admission fees
25.21(99F)	Slot machines and video games
	of chance movement

## CHAPTER 26

CHAPTER 26		
RULES OF THE GAMES		
26.1 to 26.9	Reserved	
26.10(99F)	Rules concerning all games	
26.11(99F)	Craps	
26.12(99F)	Twenty-one	
26.13(99F)	Roulette	
26.14(99F)	Big six-roulette—wagers	
26.15(99F)	Slot machine and video games of	
	chance hardware and	
	software requirements	
26.16(99F)	Slot machine specifications	
26.17(99F)	Progressive slot machines	
26.18(99F)	Other games approved by the	
	commission	
26.19(99F)	Poker	
26.20(99F)	Red dog	
26.21(99F)	Tournaments and contests	
26.22(99F)	Keno	